

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert M. Carlson et al.

Title: ANTI-FUNGAL FORMULATION OF TRITERPENE AND ESSENTIAL OIL

Docket No.: 1339.016US1

Serial No.: 10/816,804

Filed: April 2, 2004

Due Date: September 21, 2004

Examiner: Unknown

Group Art Unit: 1617

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$130.00 to cover the large entity surcharge.
- A check in the amount of \$1994.00 to cover the Basic Filing Fee and Additional Claims Fee.
- A check in the amount of \$110.00 to cover the Extension of Time Fee.
- Petition for Extension of Time (1 pg.).
- Communication Re: Missing Parts (1 pg.).
- A signed Combined Declaration and Power of Attorney (6 pgs.).
- Notice to File Missing Parts (2 pgs.).

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
Customer Number: 21186

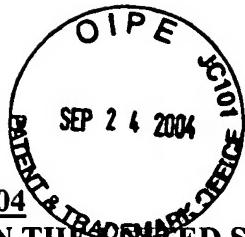
By:

  
Name: Gary J. Speier  
Reg. No. 45,458  
GJS:CMG:jal

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 21 day of September, 2004

Julie A. Lindseth  
Name

Julie A. Lindseth  
Signature



S/N 10/816,804

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert M. Carlson et al.

Examiner: Unknown

Serial No.: 10/816,804

Group Art Unit: 1617

Filed: April 2, 2004

Docket: 1339.016US1

Customer No.: 21186

Confirmation No.: 9980

Title: ANTI-FUNGAL FORMULATION OF TRITERPENE AND ESSENTIAL OIL

**COMMUNICATION RE: MISSING PARTS**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the Combined Declaration and Power of Attorney, a check in the amount of \$130.00 to cover the Large entity surcharge, and a check in the amount of \$1994.00 to cover the large entity basic filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 359-3261.

If necessary, please charge any additional fees or credit overpayment to Deposit Account 19-0743.

Respectfully submitted,  
Robert M. Carlson et al.

By their Representatives,  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 359-3261

Date 9/21/04 By GJS  
Gary J. Speier  
Reg. No. 45,458  
GJS:CMG:jal

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 21 day of September, 2004

Name Julie A. Lendeth

Julie A. Lendeth  
Signature



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,804	04/02/2004	Robert M. Carlson	1339.016US1

Schwegman, Lundberg, Woessner & Kluth, P.A.  
 P.O. Box 2938  
 Minneapolis, MN 55402



CONFIRMATION NO. 9980

FORMALITIES LETTER



\*OC000000013014132\*

Date Mailed: 06/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$1224 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2124** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1224**

09/27/2004 AWONDAF1 00000097 10816804

01 FC:1051	130.00	OP
02 FC:1001	770.00	OP
03 FC:1202	1224.00	OP

PORTFOLIO I.P.

JUN 24 2004

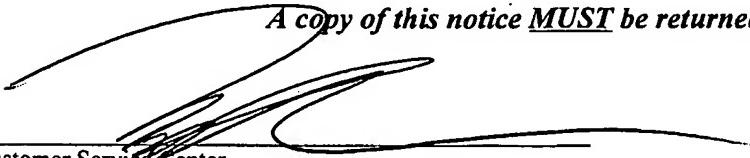
RECEIVED

- \$1224 for 68 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY